

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 4

Applicants:

Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern,
Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale,
Jason Lo, Stephen A. Pombo, and Rodney Bumgardner

#4

Application No.: 09/309,155

Group: 2774

Filed:

May 10, 1999

Examiner: Not Assigned

For:

PORTABLE MICRODISPLAY SYSTEM

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>8/31/99</u>	<u>Anne Barnes</u>
Date	Signature
<u>Anne Barnes</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated June 3, 1999, the following documents and fees are being submitted for filing in the captioned application:

[X] **EXECUTED DECLARATION/POWER OF ATTORNEY** - A copy of the Notice is attached.

(Separate transmittal letter and postcard not required)

[X] **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.

(Separate transmittal letter and postcard not required)

[X] **SURCHARGE** - surcharge fee of \$130.00.

(Separate transmittal letter and postcard not required)

[X] PETITION FOR EXTENSION OF TIME

[X] Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated June 3, 1999 for one month from August 3, 1999 to September 3, 1999. The appropriate fee of \$110.00 is included in the enclosed check.

[] A [] month extension of time to respond to the Notice to File Missing Parts dated [] was filed on [] with payment of a \$[] fee.

[] Applicant hereby petitions for an additional [] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[] is included in the enclosed check.

(Separate Petition for Extension of Time and postcard not required)

[X] REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

[] VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

[] Was filed on [] .

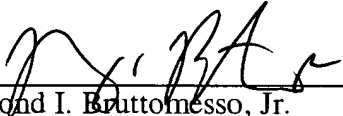
[] Is enclosed herewith.

(Separate transmittal letter and postcard not required)

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,000.00. Authorization is hereby granted to charge any additional fees due to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

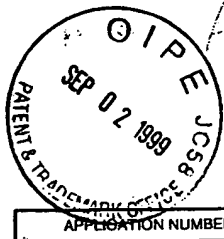
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Raymond I. Bruttofnesso, Jr.
Registration No.: 33,840
Tel.: (781) 861-6240
Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: 31 August 1999



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/309,155 05/10/99 ZAVRACKY

M KPN97-04A5

0242/0603

THOMAS O HOOVER
HAMILTON BROOK SMITH & REYNOLDS P C
TWO MILITIA DRIVE
LEXINGTON MA 02421-4799

NOT ASSIGNED

2774

DATE MAILED:

06/03/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 840

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted; and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

L. Bell
Customer Service Center
Initial Patent Examination Division (703) 308-1202

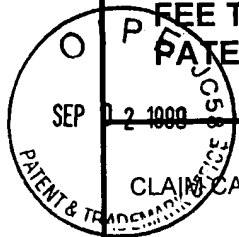
FILE COPY

09/03/1999 CUBACMA 00000031 09309155

61 FC:101
62 FC:105

88
760.00
130.00

HAMILTON, BROOK, SMITH & NOLDS, P.C.



FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number	KPN97-04A5
Application Number	09/309,155
First Named Inventor	Matthew Zavracky

#3

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	17	- 20 =	0	x \$ 18 =	\$ 0
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))	3	- 3 =	0	x \$ 78 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 260 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 760
Total of above Calculations =					\$ 760
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =					\$
TOTAL =					\$ 760
Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =					\$ 130
Petition for Extension of Time Fee (37 C.F.R. 1.17) =					\$ 110
Assignment Recordation Fee = (only when filed with application)					\$
* Reissue claims in excess of 20 and over original patent.					
** Reissue independent claims over original patent					
TOTAL =					\$ 1,000

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$ 1,000. ☐ Please charge \$ _____ to Deposit Account No. 08-0380.

4. ☒ Other: Request for Corrected Filing Receipt with copy of Filing Receipt with
changes noted in red.

Signature		Date	31 August 1999
Submitted by Typed or Printed Name	Raymond I. Bruttomesso, Jr.	Reg. Number	33,840

FILING RECEIPT

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/309,155	05/10/99	2774	\$0.00	KPN97-04A5	94	17	3

THOMAS O HOOVER
HAMILTON BROOK SMITH & REYNOLDS P C
TWO MILITIA DRIVE
LEXINGTON MA 02421-4799

#3

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MATTHEW ZAVRACKY; JEFFREY JACOBSEN; FREDERICK P. HERRMANN; WEN-FOO CHERN; HIAP L. ONG; JOHN C.C. FAN; BOR-YEU TSAUR; ALAN RICHARD; RONALD P. GALE; JASON LO; STEPHEN A. POMBO; RODNEY BUMGARDNER.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF PCT/US98/23035 10/29/98
WHICH IS A CIP OF 09/153,744 09/15/98
→ WHICH IS A CIP OF 09/066,061 04/24/98
WHICH IS A CIP OF 08/961,744 10/31/97

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/01/99

TITLE

PORTABLE MICRODISPLAY SYSTEM

PRELIMINARY CLASS: 345

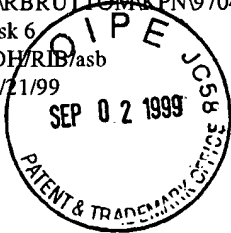
WHICH IS A CIP OF 09/004,706 01/08/98

DATA ENTRY BY: BELL, DOROTHY

TEAM: 04 DATE: 06/01/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000 1001 1002 1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 1013 1014 1015 1016 1017 1018 1019 1020 1021 1022 1023 1024 1025 1026 1027 1028 1029 1030 1031 1032 1033 1034 1035 1036 1037 1038 1039 1040 1

(see reverse)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3

Applicants: Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern,
Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale,
Jason Lo, Stephen A. Pombo, and Rodney Bumgardner

Application No.: 09/309,155

Group: 2774

Filed: May 10, 1999

Examiner: Not Assigned

For: PORTABLE MICRODISPLAY SYSTEM

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>8/31/99</u>	<u>Anne Barnes</u>
Date	Signature
<u>Anne Barnes</u>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT

Customer Correction Branch
Application Processing Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In view of the concurrently filed Declaration/Power of Attorney, we hereby request that the following items of information be added to the Filing Receipt for the subject application received in this office on June 8, 1999.

The continuing data as claimed by Applicant omitted a related application. Please correct the filing receipt to read as follows:

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF PCT/US98/23035 10/29/98

WHICH IS A CIP OF 09/153,744 09/15/98

WHICH IS A CIP OF 09/066,061 04/24/98

WHICH IS A CIP OF 09/004,706 01/08/98

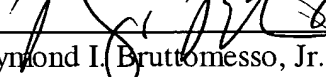
WHICH IS A CIP OF 08/961,744 10/31/97

Enclosed is a copy of the Filing Receipt with changes noted in red. Please make the changes as noted above, and issue a Corrected Filing Receipt.

Since the errors were made by the U.S. Patent and Trademark Office and not by Applicants or Applicants' Attorney, it is understood that there are no additional fees for the requested corrected Filing Receipt.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Raymond I. Bruttomesso, Jr.
Registration No.: 33,840
Telephone: (781) 861-6240
Facsimile: (781) 861-9540

Lexington, MA 02421-4799

Date: 31 August 1999